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Insights into how the aqueous environment influences the kinetics and mechanisms of heterogeneously-catalyzed COH* and CH₃OH* dehydrogenation reactions on Pt(111)†

Cameron J. Bodenschatz, Tianjun Xie, Xiaohong Zhang and Rachel B. Getman X

Water influences catalytic reactions in multiple ways, including energetic and mechanistic effects. While simulations have provided significant insight into the roles that H_2O molecules play in aqueous-phase heterogeneous catalysis, questions still remain as to the extent to which H_2O structures influence catalytic mechanisms. Specifically, influences of the configurational variability in the water structures at the catalyst interface are yet to be understood. Configurational variability is challenging to capture, as it requires multiscale approaches. Herein, we apply a multiscale sampling approach to calculate reaction thermodynamics and kinetics for COH* dehydrogenation to CO* and CH₃OH* dehydrogenation to CH₂OH* on Pt(111) catalysts under liquid H_2O . We explore various pathways for these dehydrogenation reactions that could influence the overall mechanism of methanol decomposition by including participation of H_2O structures both energetically and mechanistically. We find that the liquid H_2O environment significantly influences the mechanism of COH* dehydrogenation to CO* but leaves the mechanism of CH_3OH^* dehydrogenation to CH₂OH* largely unaltered.

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1 Introduction

One of the grand challenges in catalysis research is understanding catalytic mechanisms. This is important since a molecular level understanding of catalytic chemistry can facilitate catalyst selection and design. We are specifically interested in reactions that occur under aqueous phase. Examples of applications utilizing aqueous-phase conditions include aqueous-phase processing of biomass, alcohol and acid fuel cells, wastewater treatment, and production of fine chemicals and fuels. Further, interest in using water as a "green" solvent in catalysis motivates the need to understand how $\rm H_2O$ molecules influence catalytic chemistry.

Two of the primary ways in which water molecules influence catalytic mechanisms are altering the energies of catalytic species and participating in catalytic reactions. For example, water molecules interact with various chemical species adsorbed on solid

promoting cleavage of O–H bonds. 19,20,25–28,30–32,38,39,43,45,47,48,51–59
While simulations have provided significant insight into the roles that H₂O molecules play in aqueous-phase heterogeneous catalysis, questions still remain as to the extent to which they influence catalytic mechanisms. One challenge is to properly sample the configurations (*i.e.*, the spatial arrangements) of the liquid H₂O molecules at the catalyst interface. Configurational

sample the configurations (*i.e.*, the spatial arrangements) of the liquid H_2O molecules at the catalyst interface. Configurational variability arises due to temperature, and thus capturing it requires methods beyond quantum mechanics. *Ab initio* molecular dynamics (AIMD) addresses the finite temperature movements of liquid molecules; however, it is computationally intensive and thus limited in the number of configurations that can be generated. ^{56,60} Classical molecular dynamics (cMD) can generate liquid water

catalyst surfaces through hydrogen bonding and van der Waals

interactions.²²⁻⁴¹ These interactions have been shown to depend on the specific catalytic species and thus to influence reaction

energies and activation barriers compared to gas phase. In some

cases, they have been shown to depend on the specific arrange-

ment of water molecules at the catalyst interface. 23,24,27,42-48 If

significant enough, catalytic species interactions with liquid

H₂O can alter dominant reaction pathways compared to gas

phase. 24,31,41-43,49 Water can also participate in the reactions themselves. For example, water molecules can act as a source

for surface hydrogen atoms and hydroxyl groups. 19,20,28,31,43,50 Further, H_2O molecules can mediate catalytic reactions, e.g., by

Department of Chemical and Biomolecular Engineering, Clemson University, Clemson, South Carolina 29634, USA. E-mail: rgetman@clemson.edu

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[‡] These authors contributed equally to this work.

configurations in a more computationally tractable manner but cannot be used to model the breaking and forming of chemical bonds. Alternatively, reactive force fields can capture bond breaking and forming; $^{61-63}$ however, reactive force fields are available for a limited number of heterogeneously catalyzed systems. Capturing the influence of configurational variability on catalytic energetics (reaction energies, activation energies) thus requires combining methods that can capture sufficient numbers of configurations as well as the energetics of bond breaking and forming. Calculating rate constants for catalytic reactions in liquid $\rm H_2O$ also requires new methods, 64 as present methods rely on theories based on the assumption that the reaction environment is an ideal gas 43 (and thus are independent of the configuration of fluid phase molecules at the catalyst interface).

Our goal in this work is to improve insight about how the configurational variability of the liquid structure influences catalytic mechanisms. We are specifically interested in comparing reactions involving polar versus non-polar reaction intermediates, which we expect to exhibit different behaviors in water. To explore such phenomena, we model Pt(111)-catalyzed hydroxymethylidyne dehydrogenation to carbon monoxide, i.e., $COH^* + ^* \rightarrow$ CO* + H*, and methanol dehydrogenation to hydroxymethyl, i.e., $CH_3OH^* + * \rightarrow CH_2OH^* + H^*$. These reactions were chosen because they allow comparison of catalytic O-H versus C-H cleavage, which serve as examples for H2O participation with hydrophilic versus hydrophobic functional groups, and because they are expected to be part of the dominant reaction pathway in methanol decomposition.⁶⁵ To capture the configurational variability, we combine cMD with density functional theory (DFT), in an approach that we recently coined "multiscale sampling".60 This method enables the generation of realistic liquid water structures for calculating catalytic quantities. These types of calculations are not possible with DFT or cMD by themselves. We use the multiscale sampling approach to calculate reaction energies, activation barriers, and pre-exponential factors for the COH* and CH₃OH* dehydrogenation reactions. Comparing the results for the analogous reactions under vacuum, we provide the most conclusive results to date of the roles of liquid H₂O molecules on the reaction energies, activation barriers, and preexponential factors involved in the COH* and CH3OH* dehydrogenation reactions on Pt(111). Our results show that the liquid H₂O environment significantly influences the thermodynamics and kinetics of the COH* dehydrogenation step but has a much more minor influence on the thermodynamics and kinetics of the CH₃OH* dehydrogenation step.

2 Methods

2.1 Reaction pathways

The catalytic dehydrogenations of COH* and CH₃OH* were modeled in three different pathways. The first is "direct" dehydrogenation, where the H atom is transferred directly from the reactant to the catalyst surface, e.g.,

$$COH^* + ^* \rightarrow CO^* + H^* \tag{1}$$

where the * indicates a vacant binding site on the Pt(111) surface and *'ed species are bound to the catalyst surface. The second and third pathways involve liquid H2O molecules and thus require a model for such. While prior literature has shown that at low temperature (<145 K), H₂O molecules arrange in hexagonal, ice-like patterns on metal surfaces, 66-69 it is not wellunderstood how liquid H₂O molecules adsorb to metal surfaces. Competition between hydrogen bonding, interactions with the metal surface atoms, and thermal fluctuations complicate experimental and computational attempts to visualize a H2O molecule reaction intermediate. In our MD simulations (including cMD and AIMD), we have observed that H2O molecules near the Pt surface that are not hydrogen bonded to catalytic species remain more fluid-like than chemically adsorbed reaction intermediates and transition states. That is, H2O molecules near the surface demonstrate more significant thermal fluctuations in their spatial positions. Hence, we treat such H₂O molecules as fluid phase species (i.e., that do not participate in the site balances) in the chemical equations presented below. To participate in a chemical reaction, we assume that a liquid H2O molecule near the Pt surface first forms a complex with a reaction intermediate. In these complexation reactions, a near-surface H2O molecule interacts with a catalytic species through van der Waals interactions and/or hydrogen-bonding, and this interaction lasts for a specified amount of time (i.e., the interaction is not fleeting). The specific requirements are elaborated in Section 2.3. For example, the complexation of COH* with a liquid H2O molecule near the Pt surface is written as

$$COH^* + H_2O \rightarrow COH - H_2O^*$$
 (2)

In a subsequent step, the complexed H_2O molecule can either "co-catalyze" the dehydrogenation, 45,57,70 e.g.,

$$COH-H_2O^* + ^* \rightarrow CO^* + H^* + H_2O$$
 (3)

or "assist" the dehydrogenation. We model the assisted pathway as involving complexation of a second water molecule, *e.g.*,

$$COH-H_2O^* + H_2O \rightarrow COH-H_2O-H_2O^*$$
 (4)

followed by cleavage of the C-H or O-H bond to form H₅O₂, e.g.,

$$COH-H_2O-H_2O^* \to CO^* + H_5O_2$$
 (5)

Here, we use the H_5O_2 species as a general model of $H_{2n+1}O_n$ species. Values of n have been shown to vary from $1^{30,39,70,71,72}$ to 6, 73 depending on the system. We chose H_5O_2 as a model for $H_{2n+1}O_n$ species since our test calculations show that reaction energetics involving $H_{2n+1}O_n$ species are reasonably converged when n=2. More details about these tests are provided in Section S11 of the ESI.†

Analogous reactions for dehydrogenation of methanol to hydroxymethyl are

$$CH_3OH^* + ^* \rightarrow CH_2OH^* + H^*$$
 (6)

$$CH_3OH^* + H_2O \rightarrow CH_3OH - H_2O^*$$
 (7)

$$CH_3OH-H_2O^* + H_2O \rightarrow CH_3OH-H_2O-H_2O^*$$
 (8)

Paper

and

PCCP

$$CH_3OH - H_2O - H_2O^* \rightarrow CH_2OH^* + H_5O_2$$
 (9)

respectively, with the exception that we neglected the co-catalyzed pathway because its pre-exponential factor is prohibitively small (see Section 3.4). To complete the $\rm H_2O$ -assisted pathways for both the $\rm CH_3OH^*$ and $\rm COH^*$ reactants, we also modeled $\rm H_5O_2$ dehydrogenation, *i.e.*,

$$H_5O_2 + * \rightarrow 2H_2O + H^*$$
 (10)

Over the course of the catalytic cycle, a H species is abstracted from a reaction intermediate and deposited on the Pt(111) surface. We generally refer to these species as "hydrogen atoms" in this work; however, we note that these species could alternatively be protons.

2.2 Reaction energies and activation energies

Aqueous phase reaction energies were calculated as in prior work, 42,43 *i.e.*:

$$\Delta E_{\rm rxn}^{\rm aq} = \Delta E_{\rm rxn}^{\rm vac} + \Delta \Delta E_{\rm int} \tag{11}$$

where $\Delta E_{\rm rxn}^{\rm aq}$ is the reaction energy calculated under liquid water, $\Delta E_{\rm rxn}^{\rm vac}$ is the reaction energy calculated under vacuum, and $\Delta \Delta E_{\rm int}$ is the difference in the calculated water–adsorbate interaction energy between products and reactants. For example, $\Delta E_{\rm rxn}^{\rm vac}$ for reaction (1) is calculated as $\Delta E_{\rm rxn}^{\rm vac} = E^{\rm vac}({\rm CO}^*) + E^{\rm vac}({\rm H}^*) - E^{\rm vac}({\rm COH}^*) - E^{\rm vac}(^*)$, and $\Delta E_{\rm int}$ for COH* is calculated as $\Delta E_{\rm int}$ (COH*) = $E^{\rm aq}({\rm COH}^*) + E^{\rm vac}(^*) - E^{\rm vac}({\rm COH}^*) - E^{\rm aq}(^*)$. 23 $\Delta E_{\rm int}$ are reported as averages over 10 configurations of liquid H₂O molecules generated using the multiscale sampling approach, plus or minus the 95% confidence interval. More details about the multiscale sampling method are included in Section S6 of the ESI.† $\Delta \Delta E_{\rm int}$ is then calculated by subtracting the interaction energies of the reactants from those of the products, e.g., $\Delta \Delta E_{\rm int} = \Delta E_{\rm int}({\rm CO}^*) + \Delta E_{\rm int}({\rm H}^*) - \Delta E_{\rm int}({\rm COH}^*) - \Delta E_{\rm int}(^*)$. Activation energies were calculated analogously, 43 i.e.,

$$\Delta E_{\rm act}^{\rm aq} = \Delta E_{\rm act}^{\rm vac} + \Delta \Delta E_{\rm int} \tag{12}$$

where $\Delta\Delta E_{\rm int}$ in this case is calculated by subtracting the interaction energy of the reactants from that of the transition state. $\Delta E_{\rm act}^{\rm aq}$ for complexation reactions (2), (4), (7), and (8) were assumed to be low (below $k_{\rm B}T$) because they do not involve re-arrangements of chemical bonds, and thus we set them equal to zero in this work.

2.3 Pre-exponential terms

Pre-exponential factors for reactions (1), (3), (5), (6) and (9), which all involve O–H or C–H bond breaking, were approximated as equal to $k_{\rm B}T/h$, where $k_{\rm B}$ is Boltzmann's constant and h is Planck's constant.

Reactions (2) and (7) involve complexation of a H₂O molecule with a catalytic species. To help discern the influence of configurational variability in the H₂O structure on the kinetics of these reactions, we estimated their pre-exponential factors from cMD trajectories as the number of occurrences per unit

time that the H₂O structure (1) oriented in a way so as to promote the complexation and (2) held for 0.16 ps using the structural criteria presented below. This procedure was inspired by the work of Savara and coworkers. 64 The time requirement of 0.16 ps is equal to h/k_BT at the simulation temperature of 300 K, which is approximately equal to the period of a molecular vibration at that temperature. If the H2O structure held for more than 0.16 ps, then it was counted toward the pre-exponential factor in 0.16 ps increments until it was deemed that it could no longer promote the complexation reaction of interest. In this strategy, a H₂O structure that could continuously promote complexation of a H₂O molecule and a COH* or CH₃OH* species would have a pre-exponential factor equal to k_BT/h . A water structure was deemed able to promote a complexation reaction when the distance between the O atom on one of the liquid H₂O molecules and the O or C atom in the relevant -OH or -CH functional group was \leq 3.5 Å and the corresponding $O_{H,O}$ -O-H or $O_{H,O}$ -C-H angle was $\leq 30^{\circ}$. These criteria were adopted from the established geometrical criteria for hydrogen-bonding (see Section S2 of the ESI†). 74,75 However, they only identify the frequency at which reactant-H2O complexes form. To further distinguish H2O complexes that could promote co-catalyzed dehydrogenation reactions (which represent a fraction of the total), we included two more criteria involving the orientation of the specific H₂O molecule that complexed with the reactant adsorbate. Specifically, to co-catalyze a dehydrogenation reaction, the H₂O molecule in the complex must comprise a "dangling" hydrogen atom, 56 i.e., which points toward the Pt(111) surface. To capture these specific configurations, we counted structures where the c coordinate of an H atom from the H₂O molecule was below the c coordinate of the water O atom and where the distance in the c dimension between this H atom and the Pt surface plane was ≤ 2.5 Å. These criteria are illustrated in Fig. S2 in the ESI.† The pre-exponential factors estimated from structural criteria are presented as averages over at least 5 cMD trajectories, plus or minus the 95% confidence intervals.

Reactions (4) and (8) involve complexation of a second H_2O molecule to a reactant- H_2O complex. Since in our cMD simulations liquid water is almost continuously structured so as to promote complexation of two H_2O molecules, we set the pre-exponential factors of these reactions equal to k_BT/h .

2.4 Details of the multiscale sampling procedure

The multiscale sampling procedure is illustrated as a flowchart in Fig. S8 in the ESI.†

2.4.1 Simulation boxes. Pt(111) catalysts were modeled using slabs comprised of three-layer 3 Pt × 3 Pt periodic surfaces (*i.e.*, containing 27 total Pt atoms) in monoclinic $p(3 \times 3)$ supercells with dimensions of a = b = 8.416 Å and angles of $\alpha = \beta = 90.0^{\circ}$ and $\gamma = 60.0^{\circ}$. The (111) surface was created by cutting a slab from the structure of bulk Pt, which has a calculated lattice constant of 3.967 Å (compared to the experimental value of 3.924 Å 76). Reaction intermediates and transition state (TS) complexes were adsorbed to the top surface layer. The total coverage of reaction intermediates or TSs (not including liquid H₂O molecules) was held constant at 1/9

Paper

monolayer (ML), where 1 ML is equal to 1 reaction intermediate or TS complex per surface metal atom. Liquid water was simulated by including up to 24 H₂O molecules above the top Pt(111) surface layers. Configurations of these H₂O molecules around reaction intermediates and TS complexes were obtained as follows. First, reaction intermediate and TS complex geometries were obtained following the procedures described in Section 2.4.2. Since reaction intermediates and TS complexes could contain one or two H2O molecules, additional H2O molecules were added to the supercells so that the total number of H₂O molecules in each supercell was equal to 24. The trajectories of all of the H₂O molecules that were added to the supercells were simulated in cMD (Section 2.4.3), while the geometries of the reaction intermediates and TS complexes (including any involved H2O molecules) and all Pt atoms were held fixed. In cMD simulations, the supercell c dimension was equal to 24.524 Å, giving a total supercell volume of 1505 Å^3 and a bulk water density of $\sim 1 \text{ g cm}^{-3}$. Configurations of H₂O molecules were selected from the cMD trajectory and then partially re-optimized in DFT (Section 2.4.4). In DFT calculations, an additional 14 Å of vacuum space was added above the top of the liquid H₂O structure, yielding a total c dimension of 38.524 Å, to minimize interactions between neighboring periodic images. Since only small perturbations were made to the liquid water structure in the DFT calculations (see Section 2.4.4), this addition had a negligible effect on the water density local to the catalytic surface.

2.4.2 Geometries of reaction intermediates and transition state complexes for use in cMD. Geometries of reaction intermediates for use in cMD were obtained using geometry relaxations performed in DFT under vacuum, and geometries of TS complexes for use in cMD were obtained in DFT under configurations of liquid H₂O molecules (see Section 2.4.4), with the exceptions of the H₂O and H₅O₂ reaction intermediates and the TS complexes for the H₂O-assisted COH* dehydrogenation and H₅O₂ dehydrogenation reactions (reactions (5) and (10)), which were generated from AIMD trajectories. These simulations were initiated from configurations sampled from cMD trajectories60 and were performed in the canonical (NVT) ensemble at 300 K, maintained with the Nosé-Hoover thermostat. 77,78 Two total AIMD simulations were performed, one on a solvated COH* species, and one on a solvated H₅O₂ species. During the AIMD trajectories, the COH* and H₅O₂ species were observed to dehydrogenate to CO* + H₅O₂ and 2H₂O + H*, respectively. TS structures for use in cMD were taken from the respective occurrences in the AIMD trajectories. Geometries of the H₂O and H₅O₂ reaction intermediates were generated from the trajectory of the solvated H₅O₂ species. Further details about the AIMD simulations are provided in Section S4 the ESI.†

2.4.3 Classical MD simulations. cMD simulations were performed in the *NVT* ensemble using the Large-scale Atomic/Molecular Massively Parallel Simulator (LAMMPS).⁷⁹ The target temperature was set to 300 K, which was maintained with the Nosé–Hoover thermostat.^{77,78} The timestep was 1 fs, and atomic positions were reported every 100 fs. Intermolecular energies were calculated with Lennard-Jones + Coulomb (LJ + C) potentials. LJ parameters for Pt atoms were taken from the universal force field (UFF)⁸⁰ and partial charges on Pt atoms were set to zero. LJ and C parameters for reaction intermediates were taken from the

Optimized Potentials for Liquid Simulations (OPLS-AA)81 force field, while those for water were taken from the Transferable Intermolecular Potential with 3 Points-Chemistry at Harvard Macromolecular Mechanics⁸² (TIP3P-CHARMM) force field. Cross-terms for the LJ interactions were calculated using Lorentz-Berthelot mixing rules. 83,84 This model of H2O interacting with the catalytic surface is discussed further in Section S5 of the ESI,† including comparison with more detailed models. Water molecules were simulated as flexible in our cMD simulations, with bond and angle force constants and equilibrium bond lengths and angles taken from the TIP3P-CHARMM force field. 82 The cMD simulations were carried out for a total of 5 ns, where the first 2 ns were used for system equilibration and the remaining time was used to generate configurations of liquid H2O molecules. Configurations of liquid H₂O molecules were selected at time intervals of at least 300 ps during the last 3 ns of the cMD runs. The 300 ps time interval was chosen because it is significantly longer than the longest "lifetime" of a hydrogen bond formed between a liquid H2O molecule and a reaction intermediates (110 ps, for the COH* intermediate85).60

2.4.4 DFT calculations. DFT calculations were performed using the Vienna Ab initio Simulation Package (VASP), 86-89 which employs planewave basis sets and periodic boundary conditions. Energies of core elections were modeled using projector augmented-wave (PAW) pseudopotentials 90,91 to a cut-off energy of 400 eV, and exchange and correlation of valence electrons were modeled using the Perdew-Burke-Ernzerhof (PBE) functional. 92,93 The D2 dispersion correction⁹⁴ was used to improve the modeling of dispersion. Gaussian smearing with a smearing factor of 0.1 eV was used to set the partial occupancies of each orbital. The first Brillouin zones were sampled using automatically-generated 7 × 7 × 1 Monkhorst–Pack Γ -centered k-point meshes. ⁹⁵ Electronic structures were converged self-consistently until the difference in energy between subsequent iterations was no larger than 10⁻⁵ eV. Geometry relaxations for catalytic intermediates were performed using a force-based quasi-Newton algorithm.96 In geometry relaxations performed under vacuum (for use in cMD), the reaction intermediates was allowed to relax, while all Pt atoms were held fixed. In geometry relaxations performed under configurations of liquid water (which were generated in cMD), geometries of any H2O molecules that were hydrogen bonded to the reaction intermediates were allowed to relax, while the geometries of the reaction intermediates, all other H2O molecules, and all Pt atoms were held fixed. Test simulations indicated that different relaxation strategies influenced interaction energies by < 0.1 eV. More information about the relaxation strategies tested is included in Section of S7 the ESI.† TS searches were performed using a combination of the climbing image-nudged elastic band^{97,98} (CI-NEB) and dimer 99 methods under configurations of liquid H2O generated in cMD. Further details about how the configurations were generated are provided in Section S9 of the ESI.† CI-NEB simulations were performed using seven discrete images along the reaction coordinate (the initial and final images plus five intermediate images) connected with "springs" with force constants equal to 5 eV ${\rm \mathring{A}}^{-2}$. The five intermediate images were relaxed in CI-NEB toward the minimum energy path (MEP) until the **PCCP**

maximum force on all non-fixed atoms in all directions other than the reaction coordinate fell below 0.5 eV \mathring{A}^{-1} . A guess of the TS geometry was then generated from the resulting images using the neb2dim.pl script from Henkelman's website, 100 and this structure was relaxed to a first order saddle point using the dimer method.⁹⁹ The convergence criterion for all geometry relaxations and transition state searches reported herein was that the maximum force on all of the non-fixed atoms be less than or equal to 0.03 eV Å^{-1} . The structures of all TS complexes reported in this work were verified using vibrational mode analysis (details provided in Section S9 of the ESI†).

3 Results

3.1 Structures and interaction energies of reaction intermediates

Calculated structures of COH*, CO*, CH3OH*, CH2OH*, H2O, H₅O₂, COH-H₂O*, COH-H₂O-H₂O*, CH₃OH-H₂O*, and CH₃OH- $H_2O-H_2O^*$ are shown in Fig. 1, and calculated values of $\Delta E_{\rm int}$ are given in Table 1. Further, $\Delta E_{\rm int}$ are compared with implicit solvation in Section S1.1 of the ESI.† Interaction energies are all negative (except for H*, for which ΔE_{int} is 0), indicating favorable interaction with liquid H_2O . Values range from $-0.03~\pm$ 0.03 eV for CO* to -1.50 ± 0.31 eV for H₅O₂. The catalytic species with hydroxyl groups (all except CO* and H*) have larger (more negative) interaction energies. For example, the interaction energy of COH* (Fig. 1a) is -0.70 eV, meaning that the interaction with H_2O stabilizes COH* on the Pt surface by -0.70 eV. Further, $\Delta E_{\rm int}$ are stronger for species where the hydroxyl groups are sterically accessible to the liquid H_2O environment. For example, the ΔE_{int} for CH_2OH^* (Fig. 1d) is stronger at -0.65 ± 0.12 than for CH_3OH^* (Fig. 1c) at -0.48 ± 0.18 because the -OH group on CH₂OH* points up into the liquid H2O environment, whereas the -OH group on CH₃OH* points toward the Pt(111) surface. Similar comparisons can be made for H₅O₂ (Fig. 1f) versus H₂O (Fig. 1e), CH₃OH-H₂O* (Fig. 1i) versus COH-H₂O* (Fig. 1g), and CH₃OH-H₂O-H₂O* (Fig. 1j) versus COH-H₂O-H₂O* (Fig. 1h).

3.2 Calculated reaction energies

Calculated reaction energies are reported in Table 2 and plotted in Fig. 2. They include contributions from the energy due to the breaking and forming of chemical bonds ($\Delta E_{\rm rxn}^{\rm vac}$) as well as from the change in the water–adsorbate interaction ($\Delta\Delta E_{\rm int}$). Reactions where the aqueous environment stabilizes the reactant state more than the product state have a positive $\Delta \Delta E_{\rm int}$ and are therefore less thermodynamically favorable than their vacuumphase analogues. Reactions (1) (i.e., $COH^* + ^* \rightarrow CO^* + H^*$), (2) (i.e., COH* + $H_2O \rightarrow COH-H_2O^*$), (3) (i.e., COH- $H_2O^* + * \rightarrow$ $CO^* + H^* + H_2O$), (8) (i.e., $CH_3OH - H_2O^* + H_2O \rightarrow CH_3OH - H_2O^* + H_2O^*$ $H_2O-H_2O^*$), and (10) (i.e., $H_5O_2 + * \rightarrow 2H_2O + H^*$) fall into this category. Conversely, reactions where the water environment stabilizes the product state more than the reactant state have a negative $\Delta\Delta E_{\rm int}$ and are therefore more thermodynamically favorable than their vacuum-phase analogs. Reactions (4) (i.e., COH-H₂O* + $H_2O \rightarrow COH-H_2O-H_2O^*$), (5) (i.e., COH- $H_2O-H_2O^* \rightarrow CO^* + H_5O_2$),

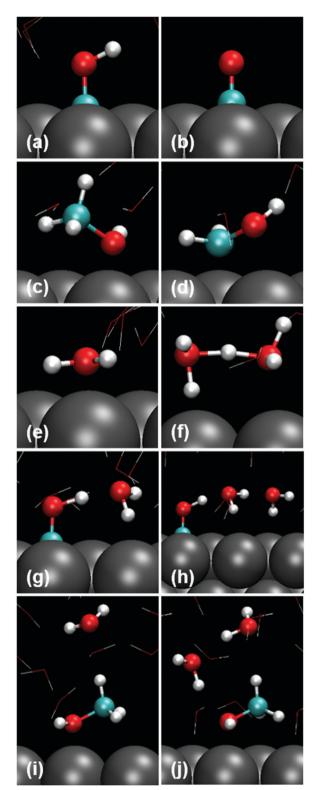


Fig. 1 Geometries of (a) COH*, (b) CO*, (c) CH3OH*, (d) CH2OH*, (e) H2O, (f) H_5O_2 , (g) $COH-H_2O^*$, (h) $COH-H_2O-H_2O^*$, (i) $CH_3OH-H_2O^*$, and (j) CH₃OH-H₂O-H₂O* on Pt(111) under liquid H₂O. Liquid water molecules are drawn as lines for visual clarity. Gray = Pt, teal = C, red = O, and white = H.

(6) (i.e., $CH_3OH^* + * \rightarrow CH_2OH^* + H^*$), (7) (i.e., $CH_3OH^* + H_2O \rightarrow$ $CH_3OH-H_2O^*$), and (9) (i.e., $CH_3OH-H_2O-H_2O^* \to CH_2OH^* + H_5O_2$) Paper PCCP

Table 1 Calculated interaction energies in units of eV

Reaction intermediate or TS	$\Delta E_{ m int} \left[{ m eV} ight]$	
COH*	-0.70 ± 0.07	
CO*	-0.03 ± 0.03	
COH-H ₂ O*	-0.55 ± 0.09	
COH-H ₂ O-H ₂ O*	-1.07 ± 0.17	
CH ₃ OH*	-0.48 ± 0.18	
CH ₂ OH*	-0.64 ± 0.12	
CH ₃ OH-H ₂ O*	-1.29 ± 0.20	
CH ₃ OH-H ₂ O-H ₂ O*	-1.27 ± 0.29	
H*	0.00 ± 0.00	
* (vacant Pt site)	0	
H ₂ O	-0.28 ± 0.10	
H_5O_2	-1.50 ± 0.31	
TS, reaction 1	-0.01 ± 0.16	
TS, reaction 3	-0.64 ± 0.10	
TS, reaction 5	-1.12 ± 0.08	
TS, reaction 6	-0.58 ± 0.15	
TS, reaction 9	-0.89 ± 0.18	
TS, reaction 10	-0.74 ± 0.21	

fall into this category. The magnitudes of $\Delta\Delta E_{\rm int}$ are significant at >0.25 eV for reactions (1), (2), (5) and (7)–(10), indicating significant influence of the liquid $\rm H_2O$ environment on the energies of these reactions. Further, $\Delta E_{\rm rxn}^{\rm vac}$ and $\Delta\Delta E_{\rm int}$ directly counteract each other for reactions (1)–(3), (5) and (7)–(10). Of these, the sign on $\Delta E_{\rm rxn}^{\rm aq}$ is opposite of that on $\Delta E_{\rm rxn}^{\rm aq}$ for all but reaction (2), indicating the ability of the liquid $\rm H_2O$ environment to alter the energetic favorabilities of these reactions.

3.3 Transition states and activation energies

Calculated TS structures are shown in Fig. 4, and activation barriers are reported in Table 2 and plotted in Fig. 3. For reactions (1), (5), (6) and (10), the H₂O environment has a similar influence on $\Delta E_{\rm act}^{\rm aq}$ via $\Delta \Delta E_{\rm int}$ as for $\Delta E_{\rm rxn}^{\rm aq}$, whereas it has an opposite influence for reactions (2) and (9). $\Delta \Delta E_{\rm int}$ for reactions (1), (9) and (10) are positive, meaning that $\Delta E_{\rm act}^{\rm aq} > \Delta E_{\rm act}^{\rm vac}$, while $\Delta \Delta E_{\rm int}$ for reactions (3), (5), and (6) are negative, meaning that $\Delta E_{\rm act}^{\rm aq} < \Delta E_{\rm act}^{\rm vac}$. The magnitudes of $\Delta \Delta E_{\rm int}$ are significant at > 0.25 eV for reactions (1), (9) and (10), indicating that the liquid H₂O environment significantly influences the kinetics of these reactions.

Two of the activation barriers plotted in Fig. 3 are negative. $\Delta E_{\rm act}^{\rm aq}$ for reaction (3) is negative because $\Delta E_{\rm act}^{\rm vac}$ for this reaction

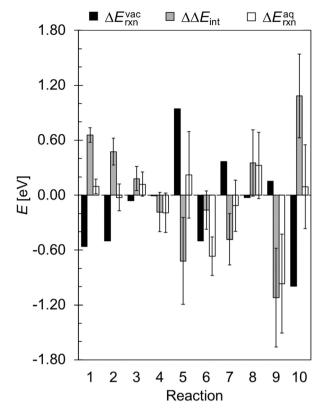


Fig. 2 Calculated $\Delta E_{\rm rxn}^{\rm vac}$ (black bars), $\Delta \Delta E_{\rm int}$ (gray bars), and $\Delta E_{\rm rxn}^{\rm aq}$ (white bars) in units of eV.

is ~ 0 and $\Delta\Delta E_{\rm int}$ is ~ -0.10 eV; however, the average value of $\Delta E_{\rm act}^{\rm aq}$ is within the 95% confidence interval on $\Delta\Delta E_{\rm int}$. For reaction (10), the TS complex was calculated to be lower in energy than the reactants in vacuum phase, suggesting that in vacuum phase, this reaction is not activated. In aqueous phase, $\Delta E_{\rm act}^{\rm aq}$ for reaction (10) is positive (albeit small).

3.4 Pre-exponential factors

Calculated pre-exponential factors, A, are reported in Table 2. For reactions where we assumed the pre-exponential factor is $k_{\rm B}T/h$, $A = 10^{12}~{\rm s}^{-1}$ at the simulated temperature of 300 K. Reactions (2) and (7), *i.e.*, where a liquid H₂O molecule forms a

Table 2 Calculated reaction energies ($\Delta E_{\text{rxn}}^{\text{aq}}$), activation energies ($\Delta E_{\text{act}}^{\text{aq}}$), and pre-exponential factors (A_{for})

No.	Reaction ^a	$\Delta E_{\mathrm{rxn}}^{\mathrm{aq}} \ [\mathrm{eV}]$	$\Delta E_{ m act}^{ m aq} \left[{ m eV} ight]$	$A_{ m for} \left[{ m s}^{-1} ight]$
1	$COH^* + ^* \rightarrow CO^* + H^*$	0.10 ± 0.08	1.68 ± 0.18	6.25×10^{12}
2a	$COH^* + H_2O \rightarrow COH - H_2O^*(d)$	-0.02 ± 0.15	b	$(1.43 \pm 0.21) \times 10^{10}$
2b	$COH^* + H_2O \rightarrow COH - H_2O^*$,,	b	$(4.04 \pm 0.03) \times 10^{12}$
3	$COH-H_2O^*(d) + * \rightarrow CO^* + H^* + H_2O$	0.12 ± 0.13	-0.09 ± 0.13	6.25×10^{12}
4	$COH-H_2O^* + H_2O \rightarrow COH-H_2O-H_2O^*$	-0.19 ± 0.22	b	6.25×10^{12}
5	$COH-H_2O-H_2O^* \rightarrow CO^* + H_5O_2$	0.22 ± 0.47	0.07 ± 0.19	6.25×10^{12}
6	$CH_3OH^* + * \rightarrow CH_2OH^* + H^*$	-0.67 ± 0.21	0.34 ± 0.23	6.25×10^{12}
7a	$CH_3OH^* + H_2O \rightarrow CH_3OH - H_2O^*(d)$	-0.11 ± 0.28	b	$(3.00 \pm 1.24) \times 10^{8}$
7b	$CH_3OH^* + H_2O \rightarrow CH_3OH - H_2O^*$,,	b	$(6.87 \pm 0.70) \times 10^9$
8	$CH_3OH-H_2O^* + H_2O \rightarrow CH_3OH-H_2O-H_2O^*$	0.33 ± 0.36	b	6.25×10^{12}
9	$CH_3OH-H_2O-H_2O^* \rightarrow CH_2OH^* + H_5O_2$	-0.97 ± 0.52	1.23 ± 0.34	6.25×10^{12}
10	$H_5O_2 + * \rightarrow 2H_2O + H^*$	0.09 ± 0.46	$\textbf{0.07}\pm\textbf{0.49}$	6.25×10^{12}

^a (d) denotes the complex has a dangling hydrogen atom. ^b Did not calculate.

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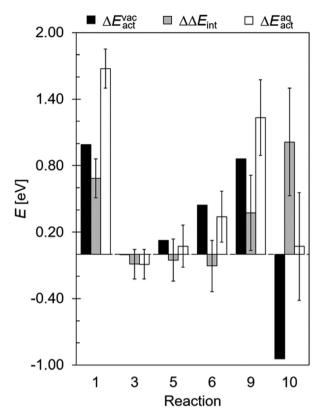


Fig. 3 Calculated $\Delta E_{\rm act}^{\rm vac}$ (black bars), $\Delta \Delta E_{\rm int}$ (gray bars), and $\Delta E_{\rm act}^{\rm aq}$ (white bars) in units of eV.

complex with a COH* or CH_3OH^* species, require the liquid H_2O structure to take on particular orientations. Since some orientations can promote co-catalyzed dehydrogenations, where the complexed H_2O molecule abstracts a hydrogen from COH* or CH_3OH^* and simultaneously deposits a second hydrogen on the Pt(111) surface, we report two frequency factors for each of the complexation reactions in Table 2, one where the specific orientation could promote the co-catalyzed reaction (denoted "a" in Table 2) and one that generally describes the frequency of complexation and could promote co-catalyzed dehydrogenation or assisted dehyrogenation (denoted "b" in Table 2).

As the COH* species is highly hydrophilic (*i.e.*, having a $\Delta E_{\rm int}$ of -0.70 eV), H₂O molecules frequently orient so as to complex with it; thus, the frequency of complexation between COH* and liquid H₂O is high, on the order of 10^{12} s⁻¹. The large pre-exponential factor for this reaction suggests that H₂O-mediated COH* dehydrogenation to CO* is feasible. As orientations that could promote the co-catalyzed pathway require that the complexed H₂O molecule have a dangling hydrogen atom, which is a specific case, the frequency of forming these complexes is smaller, on the order of 10^{10} s⁻¹. According to Table 2, $\sim 0.4\%$ of the COH-H₂O* complexes that form could promote H₂O-cocatalyzed dehydrogenation.

CH₃OH* also interacts strongly with H₂O, with $\Delta E_{\rm int} = -0.48$ eV. However, this strong interaction energy is due to H₂O interactions with CH₃OH*'s –OH group, whereas in this manuscript, we are interested in the chemistry that occurs at the –CH₃ group.

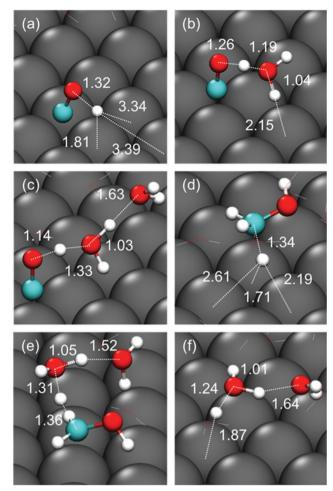


Fig. 4 Representative TS structures for (a) reaction (1), (b) reaction (3), (c) reaction (5), (d) reaction (6), (e) reaction (9), and (f) reaction (10). Water molecules not involved in the TS complex are displayed as lines for visual clarity. Gray = Pt, teal = C, red = O, and white = H.

The –CH $_3$ group interacts significantly less favorably with H $_2$ O, evidenced by the remarkably small pre-exponential factor for forming CH $_3$ OH–H $_2$ O* complexes at the –CH $_3$ group, which is on the order of 10 9 s $^{-1}$. The special case that could promote H $_2$ O-co-catalyzed CH $_3$ OH* dehydrogenation to CH $_2$ OH* is even smaller, representing only $\sim 4\%$ of the total complexes that form at CH $_3$ OH*'s –CH $_3$ group. Given that these pre-exponential factors are several orders of magnitude smaller than their non-H $_2$ O-mediated analogs, CH $_3$ OH* dehydrogenation to CH $_2$ OH* likely occurs via the direct pathway.

4 Discussion

Taking the results from Sections 3.2, 3.3, and 3.4 together, the liquid H_2O environment inhibits the direct dehydrogenation of COH* (reaction (1), *i.e.*, COH* + * \rightarrow CO* + H*). There are thermodynamic and kinetic penalties associated with breaking the O–H bond, since this results in a significantly weaker interaction with H_2O . In fact, $\Delta E_{\rm act}^{\rm act}$ for this reaction is prohibitively high in liquid H_2O . In contrast, $\Delta E_{\rm act}^{\rm act}$ for reactions involved in

H₂O-mediated COH* dehydrogenation are all <0.25 eV. Further, the pre-exponential factor for H2O-mediated COH* dehydrogenation is competitive to that for the direct dehydrogenation reaction.

Thus, COH* dehydrogenation to CO* in liquid H₂O likely occurs through a H₂O-mediated pathway. The liquid H₂O environment thus has a significant influence on the pathway for COH* dehydrogenation. In contrast, the liquid H2O environment has a minor influence on the pathway for dehydrogenation of CH₃OH* to CH_2OH^* (reaction (6) i.e., $CH_3OH^* + * \rightarrow CH_2OH^* + H^*$). ΔE_{act}^{aq} for this reaction is significantly smaller at 0.34 eV than that for H₂O-mediated CH₃OH* dehydrogenation at 1.23 eV. Further, the frequencies of forming complexes that could lead to the H2Omediated CH₃OH* dehydrogenation to CH₂OH* are significantly smaller at 10⁹ s⁻¹ than those for the direct dehydrogenation reaction at 10¹² s⁻¹. Hence, CH₃OH* dehydrogenation to CH₂OH* likely occurs through the direct pathway. These results are in agreement with isotopic labeling studies that have shown that water plays a role in catalytic O-H cleavage reactions but not C-H cleavage reactions.53

5 Conclusions

Paper

In this work, we used multiscale sampling to calculate reaction energies, activation energies, and pre-exponential factors for direct and H2O-mediated dehydrogenation of COH* to CO* and CH3OH* to CH2OH*. We found that O-H cleavage is thermodynamically and kinetically inhibited in the aqueous phase compared to in vacuum. In fact, the direct dehydrogenation reaction becomes kinetically implausible, having an activation barrier of 1.7 eV. As the -OH group interacts favorably with H2O, the O-H cleavage reaction can occur via a H₂O-mediated pathway. We find that the activation barrier for COH* dehydrogenation to CO* is <0.25 eV and that the pre-exponential term is high, on the order of 10¹² s⁻¹. COH* dehydrogenation to CO* thus likely proceeds via a H2O-mediated pathway. The liquid H₂O environment thus has a strong influence on COH* dehydrogenation to CO*, inhibiting the energetics of the direct pathway so significantly that the reaction proceeds via a H₂Omediated route. In contrast, H₂O influences on C-H cleavage are much more minor. The H₂O-mediated pathway for this reaction is not competitive, having an activation barrier >1.0 eV and a preexponential factor that is three orders of magnitude smaller than the direct pathway. The H₂O environment thus does not directly participate in CH3OH* dehydrogenation to CH2OH* and instead only serves to provide energetic alterations. In this case, the H₂O environment improves both the reaction energy and the activation energy of the direct CH₃OH* to CH₂OH* reaction.

Conflicts of interest

There are no conflicts to declare.

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